

*3 mo 04*

176

Atty. Docket No. ADV12 P-301A

176

CERTIFICATE OF MAILING

I hereby certify that this paper, together with all enclosures identified herein, are being deposited with the United States Postal Service as first class mail, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the date indicated below.

03-16-04

Date

Sharla A. Waller

Sharla A. Waller

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit : 1761
Examiner : Helen F. Pratt
Applicants : John F. Stevens et al.
Appln. No. : 09/960,191
Filing Date : September 21, 2001
Confirmation No. : 4580
For : RETICULATION-FREE WATER-DISPERSIBLE COATING
COMPOSITION FOR FOOD SUBSTRATES

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(c)

Pursuant to 37 C.F.R. §§1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents listed on the attached Form PTO-1449. This First Supplemental Information Disclosure Statement is being filed after the events recited in §1.97(b) but to the undersigned's knowledge, before the mailing date of either a Final Action or a Notice of Allowance. Under the provisions of 37 C.F.R. §1.97(c), this First Supplemental Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by §1.17(p).

Copies of the listed documents are submitted herewith along with Form PTO-1449. Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If it should be determined that any of the listed documents do not

03/22/2004 SFELEKE1 00000077 09960191
180.00 00
01 FC:1806

Applicants : John F. Stevens et al.
Appln. No. : 09/960,191
Page : 2

constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to Deposit Account No. 16-2463.

Respectfully submitted,

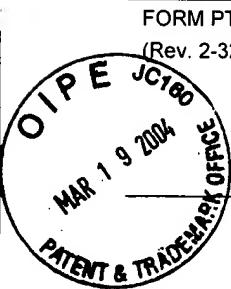
JOHN F. STEVENS ET AL.

By: PRICE, HENEVELD, COOPER,
DEWITT & LITTON, LLP

3/16/2004
Date

Todd VanThomme
Todd A. Van Thomme
Registration No. 44 285
695 Kenmoor SE
P.O. Box 2567
Grand Rapids, Michigan 49501-2567
616/949-9610

TAV/saw



FORM PTO-1449
(Rev. 2-32)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)

ATTY. DOCKET NO. ADV12 P-301A	SERIAL NO. 09/960,191
APPLICANTS John F. Stevens et al.	
FILING DATE September 21, 2001	GROUP 1761

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6 6 3 5 2 9 4	10/2003	Keijbets			
	6 2 8 8 1 7 9	09/2001	Baur et al.			
	6 2 6 5 0 0 5	07/2001	Haverkos et al.			
	6 2 1 7 9 2 1	04/2001	Lanner et al.			
	6 1 9 7 3 6 3	03/2001	Walter, Jr. et al.			
	6 1 5 9 5 2 1	12/2000	Horn et al.			
	6 1 1 3 9 5 7	09/2000	Mattinson et al.			
	6 0 8 6 9 2 8	07/2000	Stevens et al.			
	6 0 8 0 4 3 4	06/2000	Horn et al.			
	6 0 2 2 5 6 9	02/2000	Rogols et al.			
	5 9 6 5 1 8 9	10/1999	Stevens et al.			
	5 4 9 2 7 0 7	02/1996	Chalupa et al.			
	5 4 8 4 6 1 7	01/1996	Tiffany			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		0 0 6 5 9 3 2	11/2000	PCT				

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

		84 th AACC Annual Meeting Symposia and Reports, A. Jurgens, J.M. Maagd, and A.J. ten Dam, Oct. 31-Nov. 3, 1999 (16 pages)
		Specialty-Corn Types, David V. Glover, Dept. of Agronomy, Purdue University, October 1999 (1 page)
		Capolex B; Specialty Coatings for Snack Foods & Baked Goods, Centerchem, Inc., 1998 (4 pages)

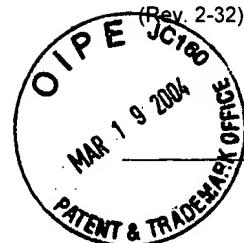
EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])

Sheet 2 of 2

FORM PTO-1449 (Rev. 2-32)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. ADV12 P-301A	SERIAL NO. 09/960,191
  	INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	APPLICANTS John F. Stevens et al.	
	FILING DATE September 21, 2001	GROUP 1761	



U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5 4 3 1 9 4 4	07/1995	Melveh			
	5 2 6 0 0 7 6	11/1993	Furcsik et al.			
	5 2 0 4 1 3 7	04/1993	Slimak			
	5 1 2 0 5 6 2	06/1992	Furcsik et al.			
	5 0 3 5 9 1 2	07/1991	Furcsik et al.			
	4 7 9 2 4 5 8	12/1988	Friedman et al.			
	4 7 9 0 9 9 7	12/1988	Friedman et al.			
	4 7 1 0 2 2 8	12/1987	Seaborne et al.			
	4 5 2 0 0 3 4	05/1985	Ishii et al.			
	4 0 5 3 6 5 0	10/1977	Chino et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

		U.S. Patent Application Publication No. 2003/0044488 A1, March 6, 2003, Roskam et al.
		U.S. Patent Application Publication No. 2002/0119219 A1, August 29, 2002, Doyle et al.
		Prior Art Batter Formula (1 page)

EXAMINER _____ DATE CONSIDERED _____

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])